

Mail Stop Assignment Recordation Services  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s): Wayne E. Fisher  
Assignee: NEON Enterprise Software, Inc. (f/k/a Peregrine Bridge Transfer  
Corporation)  
Title: "Space Management Of An IMS Database"  
Serial No.: 10/037,933  
Docket No.: 39802-P001US (f/k/a M-10965 US)

**ENCLOSED:**

- X This return receipt postcard
- 2 pages - Transmittal letter
- 3 pages - PTO Form 1595 - Recordation Cover Sheet (along with copy of Name Change)
- X Firm check in the amount of \$40.00

MPA/wk  
June 29, 2004



WINSTEAD SECHREST & MINICK P.C. CLIENT ADVANCE ACCOUNT (512) 474-4330 AUSTIN, TEXAS

Director of the United States Patent

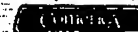
005051

DATE	INVOICE NUMBER	MEMO	BALANCE
06/29/2004	06292004A ADAMS 39802-P001US	30999-99999-01530-001 CLIENT UNBILLED COSTS ADVANCED Advance for: Name Change Client: Neon	40.00

ORIGINAL CHECK HAS A COLORED BACKGROUND PRINTED ON CHEMICAL REACTIVE PAPER

**WINSTEAD™**  
WINSTEAD SECHREST & MINICK  
Attorneys and Counselors

SUITE 800  
100 CONGRESS AVENUE  
AUSTIN, TEXAS 78701  
PH 512.474.4330



COMERICA BANK & TRUST, N.A.  
8850 BOEDEKER STREET  
DALLAS, TEXAS 75225

005051

A Professional Corporation Clients Advance Accounts

PAY: Forty and 00/100 Dollars

NUMBER

DATE

AMOUNT

000005051

06/29/2004

\*\*\*\*\*40.00

TO THE  
ORDER  
OF

Director of the United States Patent  
and Trademark Office

WINSTEAD SECHREST & MINICK P.C.  
CLIENT ADVANCE ACCOUNT

*Ami Jackson*

AUTHORIZED SIGNATURE

ORIGINAL CHECK HAS AN ARTIFICIAL WATERMARK ON REVERSE SIDE-HOLD AT AN ANGLE TO VIEW

⑈005051⑈ ⑆111000753⑆ 1880780356⑈

# WINSTEAD

June 29, 2004

direct dial: 512.370.2858  
madams@winstead.com

Mail Stop Assignment Recordation Services  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Inventor(s):	Wayne E. Fisher		
Title:	Space Management Of An IMS Database		
Serial No.:	10/037,933	Filing Date:	10/19/2001
Examiner:	Alford W. Kindred	Group Art Unit:	2172
Winstead Docket No.:			39802-P001US (f/k/a M-10965 US)

Dear Sir or Madam:

Transmitted herewith are the following:

1. Return Receipt Postcard;
2. This Transmittal Letter (**in duplicate**);
3. Recordation Form Cover Sheet;
4. Change of Name (NEON Enterprise Software, Inc. – 8/16/02); and
7. Firm check in the amount of \$40.00.

I enclose herewith a firm check in the amount of \$40.00, which represents payment for the above-referenced Change of Name being filed.


If the fee has been calculated incorrectly, the Commissioner is hereby authorized to charge any insufficiency of payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (39802-P001US) in the name of Winstead Sechrest & Minick P.C. **A duplicate copy of this transmittal letter is enclosed.**

June 29, 2004

Page 2

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Assignment Recordation Services, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, on June 29, 2004.

  
Signature

Respectfully submitted,



Michael P. Adams  
Attorney for Applicant(s)  
Reg. No. 34,763

MPA:wk  
Enclosures

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (Exp. 10/31/2005)

Tab settings ⇐ ⇐ ⇐

RECORDATION FORM COVER SHEET  
**PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Peregrine Bridge Transfer Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Other \_\_\_\_\_

8/16/2002

Execution Date: \_\_\_\_\_

2. Name and address of receiving party(ies)

Name: NEON Enterprise Software, Inc.

Internal Address: \_\_\_\_\_

**RECEIVED**

JUL 14 2004

Technology Center 2100

Street Address: 14100 Southwest Freeway

Suite 400

City: Sugarland State: TX Zip: 77478

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s) 10/037,933

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael P. Adams

Internal Address: Winstead Sechrest & Minick P.C.

P.O. Box 50784

Street Address: \_\_\_\_\_

City: Dallas State: TX Zip: 75201

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☒ Enclosed  
☐ Authorized to be charged to deposit account

8. Deposit account number:

23-2426

**DO NOT USE THIS SPACE**

9. Signature.

Michael P. Adams

Name of Person Signing

Michael Adams

Signature

June 29, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

CLIENT/MATTER NO: 39802-P001US

DOCUMENT NO.: Austin 253630

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, D.C. 20231

**CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF  
PEREGRINE/BRIDGE TRANSFER CORPORATION**

PEREGRINE/BRIDGE TRANSFER CORPORATION (the "Corporation"), a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware (the "GCL"), in order to amend its Certificate of Incorporation pursuant to Section 242 of the GCL, certifies as follows:

1. The name of the Corporation is Peregrine/Bridge Transfer Corporation. The Corporation's original Certificate of Incorporation was filed with the Secretary of State of Delaware on November 1, 1995.

2. Pursuant to the applicable provisions of Section 242 of the GCL, the Board of Directors of the Corporation, by Unanimous Written Consent dated as of August 16, 2002, duly adopted a resolution setting forth the following amendment to the Corporation's Certificate of Incorporation and declaring such amendment advisable.

3. The sole stockholder of the Corporation, pursuant to the provisions of Section 228 of the GCL, by Written Consent dated as of August 16, 2002, duly adopted such amendment.

4. The authorized capital of the Corporation shall be increased from 1,000 shares of common stock, \$0.001 par value per share, to 11,000 shares of common stock, \$0.001 par value per share, by reason of this amendment.

5. Article 1 of the Certificate of Incorporation of the Corporation is amended to read in its entirety as follows:

**ARTICLE 1**

The name of the Corporation is NEON Enterprise Software, Inc. (the "Corporation").

6. Article 4 of the Certificate of Incorporation of the Corporation is amended to read in its entirety as follows:

**ARTICLE 4**

The Corporation is authorized to issue one class of shares to be designated Common Stock. The total number of shares of Common Stock this Corporation shall have the authority to issue is 11,000, with par value of \$0.001 per share.

7. Article 5 of the Certificate of Incorporation of the Corporation is amended to read in its entirety as follows:

**ARTICLE 5**

The name and mailing address of the agent for service of process for the Corporation are as follows:

Wayne E. Webb Jr.  
NEON Enterprise Software, Inc.  
12680 High Bluff Dr., Suite 200  
San Diego, CA 92130

\* \* \*

Peregrine/Bridge Transfer Corporation has caused this Certificate of Amendment to be executed by its duly authorized officer as of August 16, 2002.

**PEREGRINE/BRIDGE TRANSFER CORPORATION**

By: 

James Bradford Poynter  
Chief Financial Officer and Secretary